

# BNY Mellon AFL-CIO Index Strategies



What do I need to know about these strategies?

Proxies are voted in accordance with AFL-CIO Proxy Voting Guidelines

Competitively priced, low-cost solutions.

What index options are currently available?

#### For ERISA Retirement Plans

Tracking Index	Current Availability Status	Management Fees (in bps) <sup>1</sup>
S&P 500®	Open	1.0
S&P Midcap 400®	Open	1.0
Russell 1000®	Open	1.0
Russell 2000®	Open	1.0
Russell 3000®	Open	1.5

All vehicles partake in securities lending with 70% of the lending revenue going back to the Fund. Please see additional information on page 2.\*  
1. Funds are subject to other fees and expenses. Please see the Schedule A & Disclosure Document for additional information.

Who can invest in these strategies?

#### For ERISA Retirement Plans

Pension and Annuity Plans

No minimum plan size

No minimum investment size

Contact Us

#### East Coast

Chris Babcock  
617-722-6972  
christopher.babcock@bnymellon.com

#### West Coast

Jeb Banks  
617-722-7903  
jeb.banks@bnymellon.com

#### Midwest and New England

Matt Downes  
617-722-7058  
matthew.downes@bnymellon.com

#### Client Service

Connor Watson  
617-722-7288  
connor.watson@bnymellon.com

Not FDIC-Insured. Not Bank-Guaranteed. May Lose Value.

For Use with Financial Professionals and Institutional Investors Only. Not for Use with the General Public.



**BNY MELLON**  
INVESTMENT MANAGEMENT

**NOTE:** The decision to participate in the Fund or to offer the Fund as an investment option (in the case of participant-directed accounts) must be made by the independent trustees, named fiduciaries or participants, as applicable, of each individual plan or by the independent representative of any other investor after reviewing all available relevant information. The AFL-CIO is not an investment advisor or investment manager and does not have any intention of, and shall not be deemed to be, advising any plan, its trustees, its participants or its beneficiaries or any other investor regarding the making of an investment in the Fund.

Moreover, the AFL-CIO makes no representation or warranty, express or implied, as to the results to be obtained by the Fund or any investor in the Fund.

\*The Bank of New York Mellon will receive an administration fee equal to .02% of the value of the collateral received in respect of securities loans.

The Bank of New York Mellon is the trustee, custodian and discretionary investment manager of the fund.

This Fund is a bank-maintained collective investment fund for which The Bank of New York Mellon acts as trustee and discretionary investment manager. The Fund is managed by employees of Mellon in their capacities as dual officers of The Bank of New York Mellon. As a bank-maintained pooled investment vehicle, the Fund and its units are not registered under federal and state securities laws in reliance upon applicable exemptions. Because the Fund is not a mutual fund, it is governed by different regulations, restrictions and disclosure requirements. For example, the Fund is subject to banking and tax regulations which, among other things, limit participation to certain eligible qualified plans where The Bank of New York Mellon or an affiliate is a trustee, investment manager, custodian or directed agent. Units of the Fund may only be sold to eligible plans that have executed a trust, custody, agency, participation or investment management agreement with The Bank of New York Mellon that, among other things, subjects the plans to the terms and conditions of the fund's Declaration of Trust, as amended from time to time.

Please note that this presentation does not comply with all of the disclosure requirements for an ERISA, section 404(c) plan, as described in the Department of Labor regulations under section 404(c) nor does it contain all of the disclosure required by Rule 404a-5. Plan sponsors intending to comply with those regulations will need to provide the plan participants with additional information. The information provided in this presentation does not constitute individual investment advice for a participant or investor, is only informational in nature and should not be used by a participant or investor as a primary basis for making an investment decision.

Bank collective investment funds are offered by Officers of The Bank of New York Mellon.

As is the case with mutual funds, the Funds are not a deposit of, and are not insured or guaranteed by, any bank, financial institution, the FDIC or any other government agency, and participants may lose money.

BNY Mellon Investment Management is one of the world's leading investment management organizations, encompassing BNY Mellon's affiliated investment management firms and global distribution companies. BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation.

This material has been distributed for informational purposes only and should not be considered as investment advice or a recommendation of any particular investment, strategy, investment manager or account arrangement, and should not serve as a primary basis for investment decisions.

**For Use With Financial Professionals or Institutional Investors Only. Not For Use With The General Public.**

© 2021 The Bank of New York Mellon.

SALES-191326-2021-05-18